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### **PATENT**

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants Kay et al.

Examiner:

Unassigned

Serial No.:

10/017,478

Group Art Unit:

1615

Confirm. No:

5212

Docket:

1040-3

Filed:

December 14, 2001

Dated:

April 3, 2002

For:

MAGNESIUM PLUS INTERACTIVE

AGENT DELIVERY

Commissioner for Patents Washington, DC 20231

### PETITION PURSUANT TO 37 C.F.R. §1.47 TO ACCEPT A DECLARATION WHEN ONE OF THE JOINT INVENTORS REFUSES TO SIGN

Sir:

- 1. The above-identified application was filed without formal papers on December 14, 2001.
- 2. Each of the two joint inventors, including Mr. Robert A Kay, were employed by Leiner Health Products, Inc. ("Leiner") during the time the invention was conceived. Mr. Kay subsequently left the employment of Leiner prior to the filing of the application.
- 3. Mr. Kay was contacted both before the filing of the application and thereafter in order to obtain his signature on the Declaration.
- 4. On March 5, 2002, Leiner's outside patent counsel, Hoffmann & Baron, LLP sent a Declaration and Power of Attorney to Mr. Kay for signature at 16253 Incline Court, LaMirada, CA 90638 by Federal Express. Thereafter, Leiner's patent counsel spoke to Mr. Kay on at least three occasions about the application and the Declaration and Power of Attorney which was sent to him for signature. Mr. Kay stated that he had received the

Declaration and Power of Attorney but would not sign the document because of business disagreements with Leiner.

- 5. A Declaration by Kevin E. McDermott is filed herewith and details the efforts undertaken by Leiner's outside patent counsel to have Mr. Kay execute the Declaration and Power of Attorney.
- 6. The other joint inventor, Larry K. Thomas, has executed the Combined Declaration and Power of Attorney which is being filed herewith in response to a Notice of Missing Parts mailed January 9, 2002...
- 7. Accordingly, Petitioner respectfully requests that the Declaration and Power of Attorney be accepted and the Response to the Notice To File Missing Parts be deemed completed.
- 8. A check in the amount of \$130.00 is enclosed herewith for the fee associated with this petition under 37 C.F.R. 1.17(i). Authority is also given to charge Deposit Account No. 08-2461 for any additional fee associated with this Petition. A duplicate copy of this sheet is enclosed for that purpose.

Respectfully submitted,

Kevin E. McDermott

Registration No.: 35,946

Attorney for Applicants

HOFFMANN & BARON, LLP 6900 Jericho Turnpike Syosset, New York 11791 (516) 822-3550 151733\_1

RECÈIVED

APR 1 7 2002

OFFICE OF PETITIONS



#### **PATENT**

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**Applicants** 

Kay et al.

Examiner:

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OFFICE OF PETITIONS

## DECLARATION OF KEVIN E. McDERMOTT IN SUPPORT OF PETITION PURSUANT TO 37 C.F.R. § 1.47

- 1. I am an associate with the law firm of Hoffmann & Baron, LLP, the outside patent counsel for Leiner Health Products, Inc. ("Leiner") in the above-referenced patent application.
  - 2. I am a registered patent attorney and my registration number is 35,946.
- 3. Mr. Robert A. Kay is an inventor on the above-identified patent application and was an employee of Leiner at the time of the invention.
- 4. The patent application was filed on December 14, 2001, without the Declaration and Power of Attorney.
- 5. Mr. Kay terminated his employment with Leiner prior to the filing of the application.
- 6. On March 5, 2002, I sent a letter to Mr. Kay enclosing a copy of the Declaration and Power of Attorney document for review and signature. The document was sent to Mr. Kay's home at 16253 Incline Court, LaMirada, CA 90638 by Federal Express.

This address was provided to me by Mr. Kay during a previous telephone conversation. A copy of the letter is attached hereto as Exhibit A.

- 7. On March 7, 2002, I spoke to Mr. Kay on the telephone and he stated that he had received the Declaration and Power of Attorney. However, he expressed reservations about signing the document since he did not want to assign any rights that he may have in the invention to Leiner. Accordingly, Mr. Kay asked me to send him a letter by facsimile which would confirm that the Declaration and Power of Attorney did not transfer any property rights in the invention. A copy of the letter is attached as Exhibit B.
- 8. On March 21, 2002, I spoke to Mr. Kay on the telephone to ascertain whether or not he had signed the Declaration and Power of Attorney. Mr. Kay stated that he had not and that he would not be signing the document because he had not been able to resolve certain business disagreements with Leiner.
- 9. I hereby declare that all the statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful, false statements and the likes that are made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful and false statements may jeopardize the validity of the application or any patent issued therefrom.

Dated: April 3, 2002

Kevin E. McDermott

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### HOFFMANN & BARON, LLP ATTORNEYS AT LAW

CHARLES R. HOFFMANN RONALD J. BARON GERALD T. BODNER DANIEL A. SCOLA, JR. A. THOMAS KAMMER

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ROBERT M. RODRICK\* SCIENTIFIC ADVISOR DANIEL A. SCOLA, SR., Ph.D.

NOT ADMITTED IN N.Y.

T SENIOR ATTORNEY

ANTHONY E. BENNETT

GREGORY W. BACHMANN MARK E. BARON STEVEN T. ZUSCHLAG SUSAN A. SIPOS KEVIN E. MCDERMOTT RODERICK S.W. TURNER ROBERT C. MORRISS JOHN S. SOPKO

JUSTIN K. HOLMES ALGIS ANILIONIS, Ph.D. CHRISTINA L. WARRICK LUDOMIR A. BUDZYN ANNA-LISA GALLO\* LAUREN T. EMR JOY I. FARBER

PATENT AGENTS ROHINI K. GARG EDNA I. GERGEL, Ph

GLORIA K. SZAKIEL, Ph.D. LINDA T. PARKER, Ph.D.

March 5, 2002 VIA FEDERAL EXPRESS

344 4780 464 Kay

Robert A. Kay 16253 Incline Court LaMirada, CA 90638

344 4780 726 Thomas

Re:

U. S. Patent Application Serial No. 10/017,478 "Calcium/Magnesium Solid Dosage Product With

Intestinal Release of Magnesium"

Our Docket: 1040-3

Dear Bob:

Pursuant to our telephone conversation, enclosed is a Combined Declaration and Power of Attorney for your signature. Please sign and date the document (your signature does not have to be notarized) on page 4 and forward it via the enclosed Federal Express envelope to Larry Thomas for his signature.

The response to the Patent Office's Notice to File Missing Parts is due to be filed on March 11, 2002. Therefore, we request that you proceed as quickly as possible.

If you have any questions concerning this matter, please contact us at your convenience.

Sincerely

Kevin E. McDermott

KEM:kd Enclosure 150392\_1



ATTORNEYS AT LAW 6900 IERICHO TURNPIKE

SALVATORE J. ABBRUZZESE\*
ALAN M. SACK IRVING N. FEIT R. GLENN SCHROEDER

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OF COUNSEL ROBERT M. RODRICK\*

SCIENTIFIC ADVISOR DANIEL A. SCOLA, SR., Ph.D.

NOT ADMITTED IN N.Y.

SENIOR ATTORNEY



**CONFIRMATION** 

March 7, 2002 VIA FACSIMILE (949) 609-4031

Robert A. Kay 16253 Incline Court LaMirada, CA 90638

ANTHONY E. BENNETT

PATENT AGENTS

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OFFICE OF PETITIONS

U. S. Patent Application Serial No. 10/017,478

"Calcium/Magnesium Solid Dosage Product With

Intestinal Release of Magnesium"

Our Docket: 1040-3

Dear Bob:

CHARLES R. HOFFMANN

GERALD T. BODNER DANIEL A. SCOLA, JR.\*

GREGORY W. BACHMANN

A. THOMAS KAMMER

MARK E. BARON STEVEN T. ZUSCHLAG

SUSAN A. SIPOS KEVIN E. MCDERMOTT

RODERICK S.W. TURNER ROBERT C. MORRISS JOHN S. SOPKO\*

GLORIA K. SZAKIEL, Ph.D.

LINDA T. PARKER, Ph.D.

RONALD J. BARON

This will confirm our telephone conversation of earlier today. The Combined Declaration and Power of Attorney, which we have asked you to execute, will not transfer any ownership rights you may have in the above-referenced patent application. The Combined Declaration and Power of Attorney simply allows us to prosecute the application in the Patent and Trademark Office.

If you have any questions concerning this matter, please contact us at your convenience.

Sincerely.

Kevin E. McDermott

cc: Lisa Steen Proctor, Esq. Leiner Health Products

KEM:kd 150581 1

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**PATENT** 

Attorney's Docket No. 1040-3

COMBINED DECLARATION AND POWER OF ATTORNEY  (ORIGINAL, DESIGN, NATIONAL STAGE OF PCT, SUPPLEMENTAL,				
		NTINUATION OR CIP)		
As a below named	inventor, I hereby declare th	nat:		
	TYPE OF	DECLARATION		
This declaration is	of the following type: (check of	ne)		
⊠ Origina ☐ Supple ☐ Design	mental	☐ National Stage PCT ☐ Divisional ☐ Continuation ☐ Continuation-in-Part (6	CIP)	
	INVENTORSH	IP IDENTIFICATION		
	not the inventors of all the claims ar n was made, should be submitted.	n explanation of the facts, including the ownership of a	ill the claims at the time	
My residence, post	office address and citizensh	nip are as stated below next to my name.		
I believe I am the o joint inventor (if plural names on the invention entitled:	riginal, first and sole invento s are listed below) of the sub	or (if only one name is listed below) or an o oject matter which is claimed and for which	original, first and n a patent is sought	
MAGNESIUM PLUS INTERA	ACITVE AGENT DELIVERY	-		
the specification of which: (co	omplete (a), (b) or (c))		RECEIVED	
(a) 🗌 is attached l	nereto.		APR 1 7 2002	
(b) ⊠ was filed on <u>December 14, 2001</u> as		OFFICE OF PETITIONS		
(c) was describe	ed and claimed in PCT Inter and as amended unde	rnational Application No. <u>PCT/</u> er PCT Article 19 on ( <i>If any</i> )		
ACKNO	WLEDGMENT OF REVIEW	OF PAPERS AND DUTY OF CANDOR		

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above, and that the filing of said specification, if heretofore filed, was authorized by me.

I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with Title 37, Code of Federal Regulations, §1.56(a).

### CLAIM OF PRIORITY OF EARLIER FOREIGN APPLICATION(S) UNDER 35 U.S.C. §119(a)-(d)

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate or of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed:

(List prior foreign/PCT application(s) filed within 12 months (6 months for design) prior to this U.S. application.)

NOTE: Where item (c) is entered above and the International Application which designated the U.S. claimed priority check item (e), enter the details below and make the priority claim.

COUNTRY (orPCT)	APPLICATION NO.	DATE OF FILING (Day/Month/Year)	PRIORITY CLAIMED UNDER 35 USC §119	
			☐ YES	□ NO
			☐ YES	□ NO
CLAIM FOR	BENEFIT OF PRIOR U.S. PROV	ISIONAL APPLICATION(S)	UNDER 35 U.S.C.	§119(e)
I hereby cla application(s) listed to	im the benefit under Title 35, Unit below:	ed States Code, §119(e) of a	any United States p	rovisional
(List prior U.S.	provisional applications.)			
PROVISIONAL APP	LICATION NO.		FILING DATE Day/Month/Year)	
60/255,616		1	4/12/2000	

### CLAIM FOR BENEFIT OF EARLIER U.S./PCT APPLICATION(S) UNDER 35 U.S.C. 120

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) or PCT international application(s) designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in such prior application(s) in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application(s) and the national or PCT international filing date of this application:

(List prior U.S. applications or PCT international applications designating the U.S. for benefit under 35 U.S.C. §120.)

U.S. APPLICATIONS			STATUS (Check One)		
U.S. SERIAL NO.	U.S. FILING DATE (Day/Month/Year)		Patented	Pending	Abandoned
0 /					
0 /					
PCT APP	LICATIONS DESIGNAT	ING THE U.S.	ST	ATUS (Check (	One)
PCT APPLN. NO.	PCT FILING DATE (Day/Month/Year)	U.S. SERIAL NOS ASSIGNED (If any)	Patented	Pending	Abandoned
PCT/					
PCT/					
35 US	SC 119 PRIORITY CLAI	M, IF ANY, FOR ABOVE L	ISTED U.S./PC	T APPLICATIO	ONS
PRIORITY APPLICATION NO	PRIORITY COUNTRY	FILING DATE (Day/Month/Year)	-	SSUE DATE Day/Month/Year)	

#### **POWER OF ATTORNEY**

As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office in connection therewith:

Charles R. Hoffmann, Reg. No. 24,102; Ronald J. Baron, Reg. No. 29,281; Gerald T. Bodner, Reg. No. 30,449; Alan M. Sack, Reg. No. 31,874; A. Thomas Kammer, Reg. No. 28,226; R. Glenn Schroeder, Reg. No. 34,720; Glenn T. Henneberger, Reg. No. 36,074; Irving N. Feit, Reg. No. 28,601; Anthony E. Bennett, Reg. No. 40,910; Gregory W. Bachmann, Reg. No. 41,593; Steven T. Zuschlag, Reg. No. 43,309; Susan A. Sipos, Reg. No. 43,128, Kevin E. McDermott, Reg. No. 35,946; Rod S. Turner, Reg. No. 38,639; Robert C. Morriss, Reg. No. 42,910; Algis Anilionis, Reg. No. 36,995; Justin K. Holmes, Reg. No. 42,666; and Lauren T. Emr, Reg. No. 46, 139 each of them of HOFFMANN & BARON, LLP, 6900 Jericho Turnpike, Syosset, New York 11791; and Daniel A. Scola, Jr., Reg. No. 29,855; Salvatore J. Abbruzzese, Reg. No. 30,152; John Sopko, Reg. No. 41,321; Gloria K. Szakiel, Reg. No. 45,149; Mark E. Baron, Reg. No. 46,150; Ludomir A. Budzyn, Reg. No. 40,540; Christina L. Warrick, Reg. No. 45,690; Linda T. Parker, Reg. No. 46,046; Robert M. Rodrick, Reg. No. 27,086; Rohini K. Garg, Reg. No. 45,272; Joy I. Farber, Reg. No. 44,103; and Anna-Lisa Gallo, Reg. No. 50,279 each of them of HOFFMANN & BARON, LLP, 1055 Parsippany Boulevard, Parsippany, New Jersey 07054.

PLEASE SEND CORRESPONDENCE TO:
Ronald J. Baron, Esq.
HOFFMANN & BARON, LLP
6900 Jericho Turnpike
Syosset, NY 11791

PLEASE DIRECT TELEPHONE CALLS TO:

Kevin E. McDermott

(516) 822-3550

### **DECLARATION**

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

### SIGNATURE(S)

Full Name of Sole or First Inventor:	Robert A. Kay
Country of Citizenship:	USA
Residence Address:	16253 Incline Court, LaMirada, CA 90638
Post Office Address:	same as above
Date:	Inventor's signature
Full Name of Second Joint Inventor:	Larry K. Thomas
Country of Citizenship:	USA
Residence Address:	130 Greenmore, Irvine, CA 92614
Post Office Address:	same as above
Date: 3/28/02	Inventor's signature Larry Thomas

NOTE: All above spaces identifying inventors must be completed or deleted before any inventor executes this application

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